Program OK 01
Compostability of products

Ref Determination

1. Scope
2 All industrially compostable raw materials, intermediate and finished products are eligible for this certification scheme.
   This technical specification does only consider the industrial compostability and does not give any judgement about other end of life treatments (home compostability, biodegradation in the soil or in water, suitability for recycling, ...) or other environmental aspects (renewable resources, energy use, water use, ...).
6 The approach for the evaluation of a finished product formed by different components is described in document ref. TS-OK-17.

2. Marking / Logo
9 The OK compost INDUSTRIAL conformity mark can be applied to a product only if this product is formally certified by TÜV AUSTRIA.
15 All the determinations as prescribed in “Annex 2.1 – Graphical chart logos” of the General Product Certification Rules must be followed.
16 OK compost INDUSTRIAL certification of a product may not be used to make a claim of home compostability, (bio)degradation in the soil, (bio)degradation in water or renewability. Formal certification to a separate standard such as OK compost HOME, OK biodegradable SOIL, OK biodegradable WATER or OK biobased is required in order to make such a claim.
20 Commercial or other declarations may not mislead the final consumer. More particularly the declarations concerning the use of a certified component or constituent may not give the end user the impression that the finished product is certified and complies with the OK compost INDUSTRIAL specifications when this is not true.
24 The use of the conformity mark (logo) is allowed on non certified packaging in case its content is certified. In this case it must be clearly communicated near the logo that the logo on the packaging only concerns the packed product, not the packaging.
25 The use of the logo for marketing purposes is only allowed in flyers, information papers, technical sheets or equivalent documents or on websites. The use of the logo on promotional tangible goods (such as bags, ball points, boxes, ...) is not allowed if they are not officially certified.

3. Normative References
27 The year of publication of the normative references is listed in document ref. TS-OK-18.
3.1 Applicable Standards
29 European standard EN 13432 : "Packaging - Requirements for packaging recoverable through composting and biodegradation - Test scheme and evaluation criteria for the final acceptance of packaging"
30 European standard EN 14995 : "Plastics — Evaluation of compostability — Test scheme and specifications"
3.2 Other references
35 International standard ISO 14855: "Determination of the ultimate aerobic biodegradability and disintegration of plastic material under controlled composting conditions - Method by analysis of evolved carbon dioxide"
**4. Terms and definitions**

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<td>European standard EN 14046: &quot;Evaluation of the ultimate aerobic biodegradability of plastic material under controlled composting conditions - Method by analysis of evolved carbon dioxide&quot;</td>
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<td>International standard ISO 17556: &quot;Determination of the ultimate aerobic biodegradability in soil by measuring the oxygen demand&quot;</td>
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<td>International standard ISO 11266: &quot;Soil quality - Guidance on laboratory testing for biodegradation of organic chemicals in soil under aerobic conditions&quot;</td>
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<td>International standard ISO 14851: &quot;Determination of the ultimate aerobic biodegradability of plastic material in an aqueous medium - Method by measuring the oxygen demand in a closed respirometer&quot;</td>
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<td>Document with reference OECD 301 C: &quot;Aquatic respirometric biodegradation test (MITI)&quot;</td>
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<td>51</td>
<td>International standard ISO 16929: &quot;Determination of the degree of disintegration of plastic materials under defined composting conditions in a pilot-scale test&quot;</td>
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<td>European standard EN 14045: &quot;Packaging - Evaluation of the disintegration of packaging materials in practical oriented tests under defined composting conditions&quot;</td>
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<td>International standard ISO 20200: &quot;Plastics - Determination of the degree of disintegration of plastic materials under simulated composting conditions in a laboratory-scale test&quot;</td>
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<td>54</td>
<td>Document with reference OECD 208: &quot;Terrestrial Plant Test: Seedling Emergence and Seedling Growth Test&quot;</td>
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<td>European standard EN 13193: &quot;Packaging. Packaging and the environment. Terminology&quot;</td>
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<td>56</td>
<td>European Standard EN 13137: &quot;Characterisation of waste. Determination of total organic carbon (TOC) in waste, sludges and sediments&quot;</td>
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**Quantitative disintegration test:** disintegration test in accordance with Standard ISO 16929 or EN 14045 (sieving method)

**Qualitative disintegration test:** disintegration test based on Standard ISO 20200, while clearly specifying the temperature at which the test has been conducted and without a precise balance of mass. Mostly the test material is put in slide frames before adding to the compost.

**Product family:** Set of products whose key features are identical.

**Terms and definitions as described in the above listed standards.**
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5. Application for Certification
5.1 Documents to be supplied
65 Identification and characterisation of the product, notably:
66 - (Trade) name of the product
67 - Product description: product type
68 - Material composition (dry weight concentrations in percentages and identifications of all constituents and components - including all additives like e.g. printing inks, colorants, processing agents, fillers, … - this identification can be in format of CAS-number, Safety Data Sheet or name of the supplier and reference code/name of the material by the supplier)
69 - Colour(s) of the material and if applicable the printing inks
70 - Maximum thickness, and where applicable, also grammage or density (measured by the relevant method)
71 - For finished and/or semi-finished products: dimensions
72 - Other relevant specifications
73 - Production site(s)
74 - In case of different internal production sites: OCO-appointment document (OCO: OK compost officer), description of the tracking system and manufacturers agreement for each production site
75 - In case of different external production sites (third companies): description of the tracking system and manufacturers agreement for each production site
76 - In case of sublicense certification: permission letter of the original certificate holder
77 - In case of the use of recycled resources: sufficient documentation about the origin, recycling and production flows of the recycled resource
78 - Available and relevant test reports
79 - A representative sample for each product (family) to be certified

5.2 Acceptance of test reports
81 Reports from laboratories that are officially approved by TÜV AUSTRIA are accepted.
82 Reports from independent laboratories that are not officially approved by TÜV AUSTRIA, but are either accredited according to ISO 17025, recognized for Good Laboratory Practices (GLP) or recognized by a similar certification body, can be accepted after a positive evaluation in detail of all requirements of the relevant test standard.
83 In case the test report comes from a laboratory that is not officially approved by TÜV AUSTRIA, is older than 3 years, the report can only be accepted for evaluation on the following two conditions:
- a sample from the archives of the laboratory has to be sent and FTIR analysis demonstrates that this sample fully corresponds to the sample submitted in the framework of the certification
- the applicant has to provide a statement that the tested sample fully corresponds to the sample submitted in the framework of the certification

6. Classification
85 None

7. Evaluation
7.1 Preliminary evaluation
88 Collection of all required information (see § 5) and preliminary inspection of the status of the material presented.

7.2 Basic Requirements
90 The test programme meets the European standard with reference EN 13432.
92 The approach for the evaluation of a finished product formed by different components is described in document ref. TS-OK-17.
7.2.1 Biodegradation

104 A product or a basic material fulfilling the requirements of biodegradation of the OK compost HOME mark, the OK biodegradable SOIL mark or the OK biodegradable WATER mark is regarded as fulfilling the requirements of biodegradation of the OK compost INDUSTRIAL mark.

108 All constituents and their maximum concentrations as specified on the positive list (technical sheet ref. TS-OK-10) are regarded as fulfilling the biodegradation requirements.

7.2.2 Disintegration

110 The disintegration test must specify the maximum thickness for which the material has been tested and approved. This thickness shall be the maximum thickness for which disintegration is guaranteed. For higher thickness, supplementary tests or/and examinations have to be carried out.

112 The result of the qualitative disintegration test (definition: see § 4) with slides is considered positive if:

(1) at the end of the test at least 81 % of the test material surface within the slide has disappeared (remaining surface must be quantified by appropriate means), corresponding to an average of 90 % of the length and 90 % of the width (this must be demonstrated by all remaining slides, the number of these slides being at least 10)

(2) no remainings of the test material are distinguished in the compost after testing

If the qualitative disintegration is not performed in slides, but on the complete material (e.g. on sugarcane plates), the result is considered positive if the material cannot be distinguished from the compost at the end of the test.

119 The disintegration test procedure described in Standard ISO 16929 or EN 14045 (quantitative sieving testing) is regarded as sufficient evidence for the fulfilment of the disintegration requirements.

120 The disintegration test procedure described in Standard ISO 20200 (quantitative sieving test) is regarded as sufficient evidence for the fulfilment of the disintegration requirements. ISO 20200 is however not suitable to prepare the ecotoxicity tests.

Remark: the procedure described in Standard ISO 20200 includes a risk of a false negative result.

121 The particles or pieces from the test sample which do not differ from the compost in colour, structure, dimension, moisture feeling, brightness/gloss are considered to be compost and are not taken into account while determining the percentage of test material that failed to pass through the > 2 mm fraction sieve.

122 The addition of a microscopic dispersed metallised layer (limited to a thickness of 1 µm and not containing any binders or polymers) does not require additional disintegration testing.

123 No additional disintegration testing is required for a hollow body if its wall thickness does not exceed half of the certified thickness of its material. A product is considered as a hollow body if its ratio length/Area is higher than 1 cm⁻¹, where length is its longest dimension (usually height) and Area is the surface of the opening(s).

126 A multilayer consisting of 2 already OK compost INDUSTRIAL or OK compost HOME certified layers (without glue in between) will be regarded as fulfilling the disintegration requirements of the OK compost INDUSTRIAL mark on the condition that the thickness of each of these layers does not exceed half of the respective certified thickness.

128 Adjacent layers (without glue in between) of a multilayer that are composed of exactly the same material are regarded as one layer.

129 The complete approach for the disintegration testing of multilayers is described in the document with ref. TS-OK-15.

130 In case a blend is made of already certified materials, the disintegration requirements are not automatically considered as fulfilled. An additional disintegration test can be necessary, depending on the applied thickness and concentrations.

131 The approach for the evaluation of replacing a layer in a multisheet packaging is described in document ref. TS-OK-16.
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132 The addition of a masterbatch up to 5% (dry weight of the end product), which only function is to colour the material or product and which carrier is chemically similar to the product material, does not require additional disintegration testing.

133 In order to obtain a certificate of conformity for a specific constituent (e.g. additive, glue, colorant, ink, masterbatch, ...) a disintegration test is not mandatory because the disintegration behaviour of this constituent will be evaluated when applied in the finished product.

7.2.3 Compost quality (Ecotoxicity)

138 The concentration of test material to be added to the compost must be 10% on wet mass basis (of which 9% as powder or granulates) according to Standard ISO 16929 (§ 6.1.1.4) or Standard EN 14045 (§ 6.1.1.4). Correspondingly the concentration in which a separate constituent must be tested in the compost is always at least one tenth of the concentration in which that constituent is added to the final product (concentrations on wet mass basis).

140 An assessment of the negative effects (ecotoxicity) of constituents accounting for less than 0.1% of the dry weight of a material or product does not have to be checked provided the total percentage of these constituents does not exceed 0.5% of the dry weight of this material or product.

141 All constituents and their maximum concentrations as specified on the positive list (technical sheet ref. TS-OK-10) are regarded as fulfilling the compost quality requirements.

142 All food additive approved ingredients are regarded as fulfilling the compost quality requirements.

143 Constituents that appear on the (candidate) list of Substances of Very High Concern (Annex XIV or the REACH) are not accepted.

This must be verified for all constituents that are not tested for ecotoxicity, do not appear on the positive list and are not food additive approved ingredients.

7.2.4 Chemical characteristics

147 All organic constituents on the positive list (technical sheet ref. TS-OK-10) are regarded as fulfilling the chemical characteristics requirements.

148 All inorganic constituents on the positive list (technical sheet ref. TS-OK-10) are regarded as fulfilling the chemical characteristics requirements except for the limitations of the elements Hg, Cd, Pb, Cu, Cr and Zn, which still have to be measured.

149 In case recycled resources are used, the most critical chemical elements will be selected during the initial certification. These critical elements function as indicators and must be measured once a year after initial certification. On the condition that during the two years after initial certification, the indicators have not revealed any risk of exceeding the required limitations of heavy metals and fluorine and on the condition that sufficient documentation can be submitted in order to prove that the recycling process is well known and controlled, the follow up of the indicators can be omitted.

150 All food additive approved ingredients are regarded as fulfilling the chemical characteristics requirements.

7.2.5 Additional determinations

152 The conformity mark, granted to a well-known basic material, is valid for a variant of this same material on condition that this variant contains the same and no more constituents as the certified basic material and the ratio between the different constituents does not vary more than 20% relatively speaking (i.e. the certification of a basic material with a composition of 70% - 20% - 9% - 1% is also valid for a variant with a composition of 70 + 14% - 20 + 4% - 9 + - 1.8% - 1 + - 0.2% for the same components, taking into account that the total is still 100%).

153 If the components used are different from those used for the certified basic material, an extension of the certified basic material is not possible without additional tests or/and examinations.

154 Any change to a certified material or product has to be notified to the TÜV AUSTRIA services.

156 In well-founded exceptional cases, the Certification Committee can decide to require additional testing.